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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/970,912

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Perry J. Robertson

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20567

7590

08/14/2006

SANDIA CORPORATION

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EXAMINER

SHIFERAW, ELEN I A

ART UNIT

PAPER NUMBER

2136

DATE MAILED: 08/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/970,912	ROBERTSON ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Eleni A. Shiferaw	2136	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 05 June 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

**DETAILED ACTION**

***Response to Amendment***

1. Applicant's arguments with respect to presently pending claims 1-21 filed on 06/05/2006 have been fully considered but they are not persuasive.

***Response to Arguments***

The appellant's first argument concerns Hankins failure to enable the instant application, remark page 2 lines 5-9. The examiner respectfully disagrees with the appellant's contentions and would like to refer back to page 2 lines 1-3 of the Applicant's disclosure wherein the applicant states that the "The present invention allows the encryption engine pipeline to be kept full, thus permitting full-rate operation". Hankins discloses a method of developing a new encryption device/DES that promises the security and bandwidth accommodation necessary to scramble various types of data at speeds unmatched by many other encryption technologies using pipelining as a hardware technique and keeping the PIPELINE FULL and continuously allowing information to pass through increases the pace at which information can be processed (see page 1 first paragraph and page 2 lines 6-22).

As per Appellant's concerning Hankins failure to teach overcoming the problem of needing to flush the pipeline between blocks when using a feedback mode of operation and/or "... the initial variables and the values to be fed back to the Exclusive-Or operation", remark page 3 par. 2-3, is not claimed. The examiner respectfully disagrees with the appellant's contention because every limitation has been addressed in view of Hankins (see, Office Action mailed on 12/28/2005).

*Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-21 are rejected under 35 U.S.C. 102(b) as being anticipated by Michelle L. Hankins, SIGNAL AFCEA'S International Journal, October 1999 "Integrated Circuit Chip Provides Secure, Rapid Data Encryption".

Regarding claims 1, 7, 12, and 17, Michelle L. Hankins discloses a method/apparatus of enhancing throughput of multi-stage pipelined encryption/decryption engine for an encryption/decryption process comprising a predetermined number of stages and providing feedback around the stages (pages 1-3), the method comprising the steps of:

for each of a plurality of encryption/decryption contexts, a number of which equals or exceeds the predetermined number of stages, receiving, for input to the multi-stage pipeline encryption/decryption engine, a source datablock for the corresponding encryption context identifier (page 2 lines 11-22);

for each of the plurality of encryption/decryption contexts, indexing according to the encryption/decryption context identifier into a bank of variables comprising initial variables and the bank comprising plurality of initial variables for each encryption/decryption context

identifier and prior-stage output datablocks to retrieve a seed variable for the source datablock (page 2 lines 6-22); and

for each of the plurality of encryption/decryption contexts, generating an output datablock from the source datablock and its corresponding seed variable (page 2 lines 11-14);

wherein each stage of the pipelined encryption/decryption engine at any given time is processing source datablocks from an encryption/decryption context different than encryption/decryption contexts of source datablocks being processed in all other stages of the pipelined encryption/decryption engine (page 2 lines 6-page 3 lines 6).

Regarding claims 2 and 13, Michelle L. Hankins teaches the method/apparatus, wherein in the indexing step/means the bank of initial variables comprises a number of initial variables for each encryption/decryption context identifier that is at least as large as the predetermined number of stages (page 2 lines 6-22).

Regarding claims 3 and 14, Michelle L. Hankins teaches the method/apparatus, additionally comprising the step/means of replacing the corresponding initial variable with the output datablock (page 2 lines 11-14).

Regarding claims 4, 10, 15, and 20, Michelle L. Hankins teaches the method/apparatus, wherein the encryption/decryption process comprises Cipher Block Chaining Mode with exception of handling of initial variables (page 2 lines 2-4).

Art Unit: 2136

Regarding claim 5, Michelle L. Hankins teaches the method, wherein the encryption/decryption process comprises a block cipher capable of being pipelined (page 2 lines 19-22).

Regarding claims 6 and 16, Michelle L. Hankins teaches the method/apparatus, wherein the process is Digital Encryption Standard (DES) (page 3 lines 1-5).

Regarding claims 8 and 18, Michelle L. Hankins teaches the method/apparatus, wherein each of the plurality of encryption/decryption contexts comprises a data stream to be encrypted (page 1 par. 1).

Regarding claims 9 and 19, Michelle L. Hankins teaches the method/apparatus, additionally comprising the step of decrypting the output datablocks at a plurality of locations distributed from the encryption/decryption engine corresponding in number to the number of encryption/decryption contexts (page 2 lines 15-18 and lines 31-32).

Regarding claims 11 and 21, Michelle L. Hankins teaches the method/apparatus, wherein the encryption/decryption process comprises a block cipher capable of being pipelined such as Digital Encryption Standard (DES) (pages 2-3).

### ***Conclusion***

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. US 6,870,929 B1 Greene *discloses applying multiple different data blocks contexts to*

Art Unit: 2136

*an encryption circuit having pipelined cipher stages and feedback-type encryption in using multiple stored initial vectors/seed data block values (IVA-IVD) of CBC/DES engine.*

US 6,920,562 B1 Kerr et al. discloses the well-known multi-stage pipelining and DES encryption.

For more prior art of record please see Form PTO 892 attached.

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eleni A. Shiferaw whose telephone number is 571-272-3867. The examiner can normally be reached on Mon-Fri 8:00am-5:00pm.

Art Unit: 2136

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

E.S.

August 11, 2006

NASSER MOAZZAMI  
PRIMARY EXAMINER

8/11/06